

PTO/SB/21 (09-04);

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

131

Application Number	10/064,355
Filing Date	07/04/2002
First Named Inventor	Kung-Yu Hsu
Art Unit	2816
Examiner Name	NGUYEN, MINH T
Attorney Docket Number	AMIP0016USA

**ENCLOSURES (Check all that apply)**

- ☐ Fee Transmittal Form  
☐ Fee Attached  
☒ Amendment/Reply  
☐ After Final  
☐ Affidavits/declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☐ Information Disclosure Statement  
  
☐ Certified Copy of Priority Document(s)  
☐ Reply to Missing Parts/  
Incomplete Application  
☐ Reply to Missing Parts  
under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)  
☐ Licensing-related Papers  
☐ Petition  
☐ Petition to Convert to a  
Provisional Application  
☐ Power of Attorney, Revocation  
Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund  
☐ CD, Number of CD(s) \_\_\_\_\_  
☐ Landscape Table on CD

- ☐ After Allowance Communication to TC  
☐ Appeal Communication to Board  
of Appeals and Interferences  
☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Other Enclosure(s) (please identify  
below):  
1. A copy of applicant's record of all of the  
correspondence between the PTO and applicant  
for application no. 10/064,355  
2. A list of such correspondence  
3. A statement of such correspondence is complete  
and accurate

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	APR 13 2006	Reg. No.	41,526

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

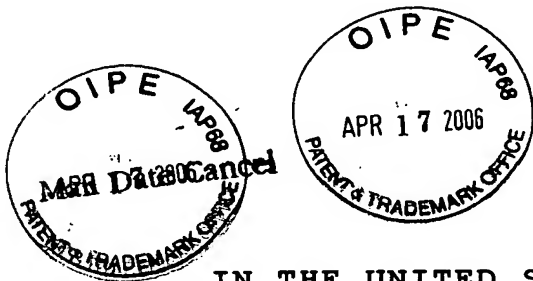
Signature *Alison Wu*

Typed or printed name Alison Wu

Date APR 13 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Kuang-Yu Hsu et al.

Serial No.: 10/064,355

Filing Date: 07/04/2002

Examiner: NGUYEN, MINH T

Art Unit: 2816

Docket No.: AMIP0016USA0

10 Title: VOLTAGE-CONTROLLED CAPACITOR CIRCUIT AND  
RELATED CIRCUITRY WITH DIODE AND MOS VARACTORS

To: Commissioner for Patents

P.O. Box 1450

15 Alexandria VA 22313-1450

Subject: Response to the Notice under 37 CFR 1.251 - Pending  
Application mailed on 02/16/2006

20

Dear Sir,

This is a Response to the Notice under 37 CFR 1.251 - Pending  
Application mailed on 02/16/2006. The undersigned agent  
25 hereby provides a copy of applicant's record of all of the  
correspondence between the PTO and applicant for the  
above-identified application (except for the petition to  
withdraw as attorney of record), a list of such correspondence  
and a statement that the copy of such correspondence is  
30 accurate.

The following is a list of applicant's record of all of  
the correspondence between the PTO and applicant for the  
above-identified application.

Date	Source	Code	Description	Pages
07/04/02'	To PTO	Attachment 1	Electronic filing of original application papers	34
07/23/02'	From PTO	Attachment 2	Filing Receipt	2
07/23/02'	From PTO	Attachment 3	Notice of Recordation	2
03/31/03'	To PTO	Attachment 4	Information Disclosure Statement (IDS) Filed	28
07/22/03'	To PTO	Attachment 5	Requirement For Foreign Priority (Priority Papers May Be Included)	39
07/23/03'	From PTO	Attachment 6	Mail Restriction Requirement	5
08/18/03'	To PTO	Lost	Petition Entered (Request to withdraw as attorney of record)	Unknown
08/21/03'	To PTO	Attachment 7	Response to Office Action Mailed on 07/23/2003	9
09/09/03'	From PTO	Attachment 8	Granted Petition to Withdraw As Attorney Of Record	1
10/30/03'	From PTO	Attachment 9	Notice of Publication	1
02/16/06'	From PTO	Attachment 10	Mail Reconstruction Notice -- Pending Application	6

Sincerely yours,

5 Winston Hsu

Date: APR 13 2006

Winston Hsu, Patent Agent No. 41,526

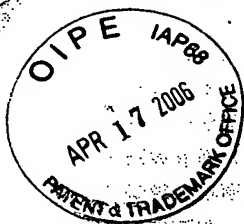
P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

10 e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)



FORM PTO-2853-B (REV. 11/2000)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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In re Application of:

AMI-P0016-USA

Application No.:

10/064,355

Filing Date:

07/04/2002

Title:

Voltage-controlled capacitor circuit and related circuitry  
with diode and MOS varactors

Direct to:

T.C. 2800 - BOX RECONSTRUCTION  
PATENT AND TRADEMARK OFFICE  
P.O. BOX 1450  
ALEXANDRIA, VA, 22313-1450

## NOTICE UNDER 37 CFR 1.251 - Pending Application

Statement (check the appropriate box):

☒ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records, other than the petition to withdraw as attorney of record, which can't be found in the applicant's record.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

Date

4/13/2006

Signature

Winston Hsu

Winston Hsu, Patent Agent No. 41,526

Typed or printed name

A copy of this notice should be returned with the reply.

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FORM PTO-2853-B (REV. 11/2000)